Unergativity: New Insights from Ditransitives

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Main Claims

- QP scope distribution data suggest that Russian ditransitives subdivide into 3 distinct Groups
- The syntactic differences between the 3 Groups of Russian ditransitives suggest the notion of unergativity is more general than is generally believed
 - Russian Group 2 ditransitives behave as unergatives whereas Groups 1 and 3 behave as transitives
 - Group 2 Accusative-marked "direct objects" behave as oblique arguments, showing almost none of the properties expected of a true direct object. This suggests Group 2 ditransitives do not subcategorize for a direct object but take two oblique arguments
 - Accusative Case in Group 2 predicates is assigned by a silent preposition
- The Russian data suggest the notion of unergativity is not a surface-level phenomenon, possibly reflecting an inherent property of roots.
- The data also provide evidence against transitive accounts of unergatives.

Summary of Results

Diagnostic Test	Group 1	Group 3	Group 2
Distributive po	\checkmark	\checkmark	*
GenNeg	\checkmark	\checkmark	*
Resultative Construction	\checkmark	\checkmark	*
Russian Intensive Resultatives (Tatevos 2010)	*	*	$\sqrt{}$
Adverbial Middles	\checkmark	\checkmark	*
Nominalizations	\checkmark	\checkmark	*

Introduction

The 3 Groups of Russian ditransitives (Antonyuk 2015):

Group 1

- (1) a. Maša našla [kakuju-to knigu] (každomu studentu)
 - Masha found [some book]ACC [every student]DAT
 - 'Masha found some book for every student' $(\exists > \forall), (\forall > \exists)$
 - b. Maša našla (kakomu-to studentu) [každuju knigu]
 - Masha found [some student]DAT [every book]ACC
 - 'Masha found some student every book' $(\exists > \forall)$, * $(\forall > \exists)$

Group 2

- (2) a. Maša obeskuražila (kakim-to postupkom) [každogo opponenta]
 - Masha discouraged [some act]INSTR [every opponent]ACC
 - 'Masha discouraged with some act every opponent' $(\exists > \forall), (\forall > \exists)$
 - b. Maša obeskuražila [kakogo-to opponenta] (každym postupkom)
 - Masha discouraged [some opponent]ACC [every act]INSTR
 - 'Masha discouraged some opponent with every act' $(\exists > \forall)$, * $(\forall > \exists)$

Group 3

- (3) a. Maša napisala [kakoj-to slogan] [na [každoj stene]]
 - Masha wrote [some slogan]ACC [PP on [every wall]PREP]
 - 'Masha wrote some slogan on every wall' $(\exists > \forall), (\forall > \exists)$
 - b. Maša napisala [na [kakoj-to stene]] [každyj slogan]
 - Masha wrote [PP on [some wall]PREP] [every slogan]ACC
 - 'Masha wrote on some wall every slogan' $(\exists > \forall), (\forall > \exists)$

Differences between the 3 Groups (based on Pesetsky 1982)

(see also Harves 2002, Irvin 2012)

Group 1

- (4) √ Maša našla [**po** knige] [každomu studentu]

 Masha found [**po** document]DAT [each student]DAT
 - 'Masha found one book (each) for every student' **Distr** po
- (5) √ Maša **ne** našla fotografi**i**/služank**i**
 - Masha not find photographGEN/maidGEN
 - 'Masha did not find a photograph/a maid' GenNeg

Group 2:

- (6) *Maša obeskuražila [po opponentu] [každym postupkom]

 Masha discouraged [po opponent]DAT [every act]INSTR

 'Masha called each boy by a nickname' Distr po
- (7) *Maša ne obeskuražila služanki/opponenta

 Masha not discouragePST maidGEN/opponentGEN

 'Masha did not discourage a maid/an opponent' GenNeg

Perlmutter and Moore (2002): "An underlying direct object that is not the surface subject may be genitive under the scope of negation"

Differences between the 3 Groups (based on Pesetsky 1982)

Group 3

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(8) a. √Maša napisala [po sloganu] [na [každoj stene]]
Masha wrote [po slogan]DAT [PP on [every wall]PREP]
'Masha wrote some slogan on each wall' Distr po
b. √Maša ne napisala zapiski/slogana
Masha not writePST.FEM noteGEN.FEM/sloganGEN.MSC
'Masha didn't write a note/a slogan' GenNeg
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Further Differences: Resultatives

Resultative Construction as a (deep) unaccusativity test in English (Levin and Rappaport Hovav 1995; Irvin 2012. cf. Rappaport Hovav and Levin 2001; Wechsler 1997; Kratzer 2005)

Resultative construction test applied to Russian ditransitives:

The required form of the construction:

SUBJECT DO-stem-REFL OBJECT do togo, čto RESULT STATE

Prediction: if this construction is sensitive to the nature of the internal argument, only the predicates belonging to Groups 1 and 3 will participate in the formation of a resultative construction. If the "direct object" of Group 2 predicates is indeed not a true direct object, it will not be possible to form grammatical resultatives on the basis of Group 2 predicates.

Further Differences: Resultatives

Group 1

```
(10) Maša dotrebovalas' povyšenija

Masha DO-demand-REFL promotionGEN

(do togo, čto ee uvolili s raboty)

(until that her fired from work)

'Masha demanded a promotion to the point of getting herself
```

Group 2

fired'

```
(11) *Maša doobzivalas' mal'chikov

Masha DO-call.nicknames-REFL boysGEN

(do togo, čto proslyla zljukoj)

(until that became.known [shrew]INSTR)

'Masha kept calling boys nicknames to the point of becoming known as a shrew'
```

Further Differences: Resultatives

Group 3

(12) Maša dopisalas' sloganov

Masha DO-write-REFL slogansGEN

(do togo, čto ee stil' načali uznavat')

(until that her style became recognizable)

'Masha wrote so many slogans that her style became recognizable'

see Jones and Lavine (2010) for a discussion of Russian adverbial middles (type I, Ackema and Schoorlemmer (2006))

Jones and Lavine (2010): being a "change-of-state" predicate is a "fair approximation of the general nature of the verbs that allow middle" in Russian.

(13) Kapusta narezalas' legko (Jones & Lavine 2010) 'The cabbage [VP cut easily].'

Characteristic property of RAM: can be built on a perfective verb, thus it does not express a generic statement but is clearly temporally anchored.

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(14) a. Maša potrebovala [kakie-to dokumenty]

Masha demanded [some documents]ACC

(s každogo posetitelja)

[PP from every visitor]GEN
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'Masha demanded some documents from every visitor' (ambiguous)

b. Maša potrebovala (s kakogo-to posetitelja)

Masha demanded [PP from some visitor]**GEN**

[každyj document]

[every document]ACC

'Masha demanded every document from some visitor' (surface frozen)

- c. Dokumenty potrebovalis' ??legko/nemedlenno
 Documents demanded-REFL easily/immediately
- d. Dokumenty trebujutsja legko/nemedlenno
 Documents demand-REFL easily/immediately

- (15) a. Maša prostila [kakoe-to predatel'stvo] (každoj podruge)
 Masha forgave [some betrayal]ACC [every girlfriend]DAT

 'Masha forgave some betrayal to every girlfriend' (ambiguous)
 - b. Maša prostila (kakoj-to podruge) [každoe predatel'stvo]
 Masha forgave [some girlfriend]DAT [every betrayal]ACC
 'Masha forgave some girlfriend every betrayal' (frozen)

- c. Predatel'sto prostilos' legkoBetrayal forgave-REFL easily
- d. Predatel'stvo prošaetsja legkoBetrayal forgives-REFL easily

- (16) a. Maša obidela (kakim-to priznaniem) [každogo druga]
 Masha insulted [some confession]INSTR [every friend]ACC
 'Masha insulted every friend with some confession' (amb)
 - b. Maša obidela [kakogo-to druga] (každym priznaniem)
 Masha insulted [some friend]ACC [every confession]INSTR
 'Masha insulted some friend with every confession' (frozen)

- c. *Drug obidelsja legko Friend insulted-REFL easily
- d. *Drug obižaetsja legkoFriend insults-REFL easily

- (17) a. Maša pobryzgala ?/*(kakimi-to duxami) [každuju klientku]

 Masha sprayed [some perfume]INSTR [every client]ACC

 'Masha sprayed some perfume over every client' (ambiguous)
 - b. Maša pobryzgala [kakuju-to klientku] ?/*(každymi duxami) Masha sprayed [some client] [every perfume]INSTR 'Masha sprayed some client with every perfume' (frozen)

- c. *Klientka pobryzgalas' legko Client sprayed-REFL easily
- d. *Klientka bryzgaetsja legko Client sprays-REFL easily

- (18) a. Maša napisala [kakoj-to slogan] (na každoj stene)
 Masha wrote [some slogan]ACC [on every wall]DAT
 'Masha wrote some slogan on every wall' (ambiguous)
 - b. Maša napisala (na kakoj-to stene) [každyj slogan]
 Masha wrote [on some wall]DAT [every slogan]ACC
 'Masha wrote every slogan on some wall' (frozen)

- c. Slogan napisalsja legko Slogan wrote-REFL easily
- d. Slogan pišetsja legkoSlogan writes-REFL easily

- (19) a. Maša razmestila [kakoje-to soobšenie] *(v každoj gazete) Masha posted [some message]ACC [in everynewspaper]PREP 'Masha posted some message in every newspaper' (ambiguous)
 - b. Maša razmestila *(v kakoj-to gazete) [každoe soobšenie]
 Masha posted [in some newspaper]PREP [every message]ACC
 'Masha posted every message in some newspaper' (ambiguous)

- c. Soobšenie razmestilos' legko Message posted-REFL easily
- d. Soobšenie razmešaetsja legkoMessage posts-REFL easily

Further Differences: Nominalizations English:

- (20) a. Mary gave the book to the boy =>
 - b. Mary's gift of the book to the boy

- a. Mary gave the boy the book =>
- b. *Mary's gift of the boy (of) the book

In most attested cases the object that undergoes nominalization is the direct object (Malchukov, Haspelmath and Comrie 2010)

Group 1

(21) a. Maša potrebovala [kakie-to dokumenty]

Masha demanded [some documents]ACC

(s každogo posetitelja)

[PP from every visitor]GEN

'Masha demanded some documents from every visitor' (ambiguous)

b. Maša potrebovala (s kakogo-to posetitelja)

Masha demanded [PP from some visitor]GEN

[každyj document]

[every document]ACC

'Masha demanded every document from some visitor' (surface frozen)

Nominalization:

c. Mašino trebovanie dokumentov s každogo posetitelja Masha**GEN** demand documents**GEN** from every visitor

Group 1

- (22) a. Maša prostila [kakoe-to predatel'stvo] (každoj podruge) Masha forgave [some betrayal]**ACC** [every girlfriend]**DAT** 'Masha forgave some betrayal to every girlfriend' (ambiguous)
 - b. Maša prostila (kakoj-to podruge) [každoe predatel'stvo]
 Masha forgave [some girlfriend]DAT [every betrayal]ACC
 'Masha forgave some girlfriend every betrayal' (frozen)

Nominalization:

c. Mašino prošenie predatelstva každoj podruge Masha**GEN** forgivenes betrayal**GEN** every friend

Group 2

- (23) a. Maša obidela (kakim-to priznaniem) [každogo druga]
 Masha insulted [some confession]INSTR [every friend]ACC
 'Masha insulted every friend with some confession' (amb)
 - b. Maša obidela [kakogo-to druga] (každym priznaniem)
 Masha insulted [some friend]ACC [every confession]INSTR
 'Masha insulted some friend with every confession' (frozen)

Nominalization:

c. *Mašino obižanie každogo druga priznaniem Masha**GEN** insult every friend**GEN** confession**INSTR**

Group 2

- (24) a. Maša pobryzgala ?/*(kakimi-to duxami) [každuju klientku] Masha sprayed [some perfume]INSTR [every client]ACC 'Masha sprayed some perfume over every client' (ambiguous)
 - b. Maša pobryzgala [kakuju-to klientku] ?/*(každymi duxami) Masha sprayed [some client] [every perfume]INSTR 'Masha sprayed some client with every perfume' (frozen)

Nominalization:

c. ??/*Mašino pobryzgivanie klientok duxami Masha**GEN** sprinkling clients**GEN** perfume**INSTR**

Group 2

- (25) a. Maša zaščitila [ot kakoj-to sobaki] [každogo rebenka] Masha protected [from some dog]**GEN** [every child]**ACC** 'Masha protected from some dog every child' (ambiguous)
 - b. Maša zaščitila [kakogo-to rebenka] [ot každoj sobaki]
 Masha protected [some child]ACC [from every dog]GEN
 'Masha protected some child from every dog' (frozen)

Nominalization:

c. *Mašiščanije rebenka ot kakoj-to sobaki
Masha**GEN** protection child**GEN** from some dog

Group 3:

- (26) a. Maša napisala [kakoj-to slogan] (na každoj stene)
 Masha wrote [some slogan]ACC [on every wall]DAT
 'Masha wrote some slogan on every wall' (ambiguous)
 - b. Maša napisala (na kakoj-to stene) [každyj slogan]
 Masha wrote [on some wall]DAT [every slogan]ACC
 'Masha wrote every slogan on some wall' (frozen)

Nominalization:

c. ?Mašino (na)pisanie slogana na každoj stene Masha**GEN** writing slogan**GEN** on every wall

Group 3

- (27)a. Maša razmestila [kakoje-to soobšenie] *(v každoj gazete) Masha posted [some message]ACC [in everynewspaper]PREP 'Masha posted some message in every newspaper' (ambiguous)
 - b. Maša razmestila *(v kakoj-to gazete) [každoe soobšenie]
 Masha posted [in some newspaper]PREP [every message]ACC
 'Masha posted every message in some newspaper' (ambiguous)

Nominalization:

c. Mašino razmešenie soobšenija v každoj gazete Masha**GEN** placement message**GEN** in every newspaper

Interim Conclusions

- The 3 Groups of Russian ditransitives show consistent differences in terms of QP scope ambiguity and scope freezing distribution patterns
- The 3 Groups also differ in terms of their internal argument structure:
- predicates belonging to Groups 1&3 clearly select for a true direct object and pattern together on a number of unaccusativity tests
- Accusative objects of Group 2 predicates behave as oblique arguments wrt unaccusativity tests, suggesting Group 2 predicates do not subcategorize for a direct object, taking two oblique arguments

Back to the Main Claim

Claim: The notion of unergativity is relevant to ditransitive predicates as well, with some Russian ditransitives behaving as transitives while others behave as unergatives

BUT

The inability of 'unergative ditransitives' to take a direct object is only suggestive of unergativity if they also differ from the corresponding 'transitives' wrt their external arguments:

- (28) a. John passed the plate to Mary/
 - b. The plate passed ___ to Mary

Group 1

(29) a. Maša našla [kakuju-to knigu] (každomu studentu)
Masha found [some book]ACC [every student]DAT
'Masha found some book for every student' (∃ > ∀), (∀ > ∃)
b. Maša našla (kakomu-to studentu) [každuju knigu]
Masha found [some student]DAT [every book]ACC
'Masha found some student every book' (∃ > ∀), *(∀ > ∃)
(11)

S-to-O Adv:

c. √Kakaja-to kniga našlas' každomu studentu

Group 2

- (30) a. Maša obeskuražila (kakim-to postupkom)[každogo opponenta]

 Masha discouraged [some act]INSTR [every opponent]ACC

 'Masha discouraged with some act every opponent' (∃ > ∀), (∀ > ∃)
 - b. Maša obeskuražila [kakogo-to opponenta] (každym postupkom)
 Masha discouraged [some opponent]ACC [every act]INSTR
 'Masha discouraged some opponent with every act' (∃ > ∀), *(∀ > ∃)

S-to-O Adv:

c. *Kakoj-to opponent obeskuražilsja každym postupkom Some opponent discouraged-REFL every actINSTR

Group 2

- (31) a. Maša pobryzgala ?/*(kakimi-to duxami) [každuju klientku] Masha sprayed [some perfume]INSTR [every client]ACC 'Masha sprayed some perfume over every client' (ambiguous)
 - b. Maša pobryzgala [kakuju-to klientku] [každymi duxami]
 MashaNOM sprayed [some client]ACC [every perfume]INSTR
 'Masha sprayed some client with every perfume' (frozen)

S-to-O Adv:

c. [Kakaja-to klientka] pobryzgalas' duxami [Some client]NOM sprayedREFL perfume.

'Some client sprayed herself with perfume'

Note: advancing the "direct object" of Group 2 verbs to subject position sometimes results in regular subject reading for this phrase

Group 3

- (32) a. Maša napisala [kakoj-to slogan] [na [každoj stene]]

 Masha wrote [some slogan]ACC [PP on [every wall]PREP]

 'Masha wrote some slogan on every wall' (∃ > ∀), (∀ > ∃)
 - b. Maša napisala [na [kakoj-to stene]] [každyj slogan]
 Masha wrote [PP on [some wall]PREP] [every slogan]ACC
 'Masha wrote on some wall every slogan' (∃ > ∀), (∀ > ∃)

S-to-O Adv:

c. √Kakoj-to slogan napisalsja na každoj stene Some sloganNOM wrote-REFL on every wall

Supporting Evidence: Resultatives

Group 2 Resultatives:

(33) a. *Maša doobzivalas' mal'chikov

Masha DO-call.nicknames-REFL boysGEN

(do togo, čto proslyla zljukoj)

(until that became.known [shrew]INSTR)

'Masha kept calling boys nicknames to the point of becoming known as a shrew'

BUT:

- b. √ Maša doobzivalas' =**RIR** in Tatevosov (2010) Masha DO-call.nicknames-REFL
- ='Masha finally got herself in trouble because of namecalling'

Supporting Evidence: Resultatives

Tatevosov (2010) dubs this a Russian Intensive Resultative (RIR), which in turn is very similar to the English Reflexive Resultative (ERR).

(34) a. Turisty gulja-l-i.

(Tatevosov 2010)

The tourists walked.

b. Turisty na-gulja-l-i-s'.

Tourists NAwalkPST-PL-REFL

'By walking, the tourists achieved a state of being satisfied.'

ERR:

- (28) a. The tourists walked.
 - b. The tourists walked themselves tired.

Supporting Evidence: Resultatives

Tatevosov (2010): "RIRs and ERRs both refer to events in which a certain property of the participant undergoes a gradual change. This change leads the participant to the result state whose descriptive properties are fully specified in English and underspecified in Russian. In English, the participant undergoing change can and in Russian must be identical to the subject."

"RIRs and ERRs exhibit parallel lexical restrictions. Both tend to be licensed for the same classes of non-derived verbs, intransitive activity verbs or transitive activity verbs, **but not unaccusatives**".

(35) **Group 1:**

- a. *Maša dotrebovalas'
- b. *Vania doprinosilsja

(36) **Group 2: = RIR**

- a. Maša doobzivalas'
- b. Maša doobižalas'

(37) **Group 3:**

- a. *Maša dopisalas'
- b. *Vania dozagružalsja

Supporting Evidence: Middles

Group 2 Adverbial Middles:

(38) a. *Drug obidelsja legko Friend insulted-REFL easily

BUT:

b. Drug obidelsjaFriend insulted-REFL'A friend became insulted' = reflexive

Conclusion: verbs belonging to Group 2 always project the external argument, but no true direct object as far as unaccusativity diagnostics are concerned, thus they are indeed unergative in the relevant sense.

General Conclusions

- Different Groups of Russian ditransitives show different behavior with respect to QP scope which is tracked almost perfectly by differences wrt a number of unaccusativity tests
 - Group 1&3 predicates pattern together on most unaccusativity tests (there are some differences), suggesting their Accusative-marked objects are true direct objects
 - Group 2 predicates fail all unaccusativity tests, suggesting their Accusative marked objects are not true direct objects but concealed Obliques
 - The data support the proposal that Group 2 ditransitives are unergative predicates while Group 1 and Group 3 ditransitives are transitive predicates
 - Questions regarding semantic properties of roots
 - Possibly evidence against transitive analyses of unergatives

THANK YOU!

Contact info for questions and additional data requests: syudina@gmail.com

This PPT presentation as well as related papers can soon be downloaded at my web page: www.lingoscope.org

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Going Back to "Ditransitive Unaccusatives"...

Is there really no difference between Group 1 and Group 3 objects? Group 1 Adverbial Middles:

- (39) a. Dokumenty potrebovalis' ??legko/nemedlenno Documents demanded-REFL easily/immediately
- (40) a. Predatel'sto prostilos' legko Betrayal forgave-REFL easily

Implicit Agent position in Middles??

(39) b. Dokumenty potrebovalis' Mašej/u Maši nemedlenno Documents demanded-REFL MashaINSTR/at Masha immediately

INSTR = The documents were demanded by Masha immediately

=> Masha is the Agent

u-PP = The documents were demanded **from** Masha => **Masha is the Patient** (that is, it a different kind of u-PP, not the possessor one)

(40) b. Predatel'sto prostilos' Mašej/*u Maši legko

INSTR = The betrayal was easily forgiven by Masha

=> Masha is the Experiencer

*u-PP

Going Back to "Ditransitive Unaccusatives"...

Group 3 Adverbial Middles:

- (41) a. Slogan napisalsja legko Slogan wrote-REFL easily
- (42) a. Soobšenie razmestilos' legko Message posted-REFL easily

Implicit Agent?

- (41) b. Slogan napisalsja Mašej/u Maši legko
 Slogan wrote-REFL MashaINSTR/at Masha easily
 INSTR = Slogan was easily written by Masha => Masha is the Agent
 u-PP = Slogan wrote easily for Masha => Masha is the Agent
- (42) b. Soobšenie razmestilos' Mašej/u Maši legko
 Message posted-REFL MashaINSTR/at Masha easily

 INSTR = Message was posted easily by Masha => Masha is the Agent

 u-PP = Message posted easily for Masha => Masha is the Agent

 (this is the possessive u-PP, see Johns and Lavine 2010)

Going Back to "Ditransitive Unaccusatives"...

Pere-prefixation:

Group 1: perepisat' (to rewrite), perezakliuchit' pari (to re-make the bet), perepročitat' (to reread), peredelat' (to redo)

Group 3:

perečitat' (to reread), pereverbovat' (to re-draft), perezagruzit' (to reload, to download again), peretaščit' (to drag over), perebit' (to beat all of, to kill all), perederžat' (to overexpose, to keep somewhere for too long), pereprisoedenit' (to re- annex, to reattach), perepoobeščat' (to promise again), perepravit' (to forward, to take across, to carry over)

Conclusions:

- (1) it looks like Group 1 predicates really form impersonal passives, not middles, while Group 3 predicates can form both; differences wrt ability to take *pere-* = there are indeed differences b/w Group 1&3
- (2) There really seems to be an implicit Agent position projected in Middles, as argued by Stroik (1999, 2006 i.a; cf. Jones and Lavine 2010)

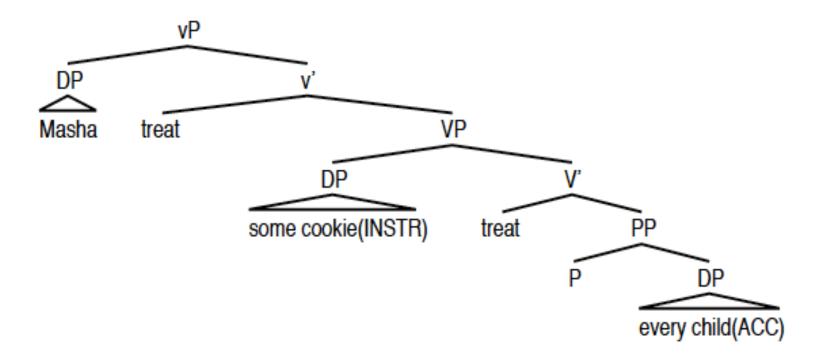
Group 2:

(43)

- a. Maša ugostila (kakim-to pečenjem) každogo rebenka
 Masha treated [some cookie]INSTR [every child]ACC
 'Masha treated every child to some cookie' ∃ ∀/∀ ∃
- b. Maša ugostila [kakogo-to rebenka](každym pečenjem)

 Masha treated [some child]ACC [every cookie]INSTR

 'Masha treated some child to every cookie' ∃ ∀/* ∀ ∃



(44)

- a. Maša pobesedovala (na kakuju-to temu) [s každym drugom]
 Masha talked [PP on [some topic] ACC] [PP with [every friend] INSTR]
 'Masha had a conversation on some topic with every friend' ∃∀/
- b. Maša pobesedovala [s kakim-to drugom] (na každuju-to temu) Masha talked [$_{PP}$ with [some friend]INSTR] [$_{PP}$ on [every topic]ACC] 'Masha had a conversation with some friend on every topic' $\exists \forall \land \forall \exists$

(45)

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a. Maša otrugala (za kakuju-to ošibku) [každogo druga]
Masha scolded [pp for [some mistake]ACC] [every friend]ACC
'Masha scolded every friend for some mistake' ∃∀/∀

b. Maša otrugala [kakogo-to druga] (za každuju ošibku)
Masha scolded [some friend]ACC[pp for [every mistake]ACC]
'Masha scolded some friend for every mistake' ∃∀/
*∀∃
```

Distributive po test:

a. *Maša otrugala po drugu za každuju ošibku Masha scolded **po** friend-DAT [PP for [every mistake]ACC]

Genitive of Negation:

b. ??Maša ne otrugala podrugi Masha NEG scolded girlfriend-GEN

Resultative test:

c. *Maša dorugalas' druga do togo, čto on ušel Masha DO-scold-REFL friend to that that he left 'Masha scolded her friend into leaving'

RIR (Tatevosov 2010):

d. Maša dorugalas'Masha DO-scold-REFL'Masha scolded her way to some negative result'

Emonds (1993):

Max awarded Bill [the prize]

Max awarded Bill [P the prize]

Max awarded Bill [with the prize]